



01-19-01

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PATENTS, TRADEMARKS, COPYRIGHTS  
AND RELATED MATTERS

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STUART N. SENNIGER  
(1921-1997)

January 18, 2001

**UTILITY PATENT APPLICATION TRANSMITTAL**  
(new nonprovisional applications under 37 CFR 1.53 (b))

Attorney Docket Number: LELI 3414  
First Named Inventor: Shih-Heng Chen  
Title: CIRCUIT AND METHOD FOR SYMBOL TIMING RECOVERY USING PHASE  
DEMODULATION  
Express Mail Label Number: EL 757702362 US

TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

**APPLICATION ELEMENTS**

1.  Fee Transmittal Form  
(original and duplicate)
2.  Applicant claims small entity status
3.  Specification [Total Pages 13]
4.  Drawings [Total Sheets 04]
5. Oath or Declaration [Total Pages 04]
  - a.  Newly executed (original or copy)  
 New (unexecuted)
  - b.  Copy from a prior application  
(for continuation/divisional with  
Box 19 completed)
  - i.  DELETION OF INVENTOR(s)  
Signed statement attached  
deleting inventor(s) named  
in prior application.



6.  Incorporation By Reference  
(useable if Box 5b is marked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

7.  Application Data Sheet

8.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

9.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

a.  Computer Readable Form

b.  Specification Sequence Listing on:  
i.  CD-ROM or CD-R (2 copies); or  
ii.  paper

c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

10.  Assignment Papers (cover sheet & document(s))

11.  37 CFR 3.73(b) Statement  Power of Attorney

12.  English Translation Document (if applicable)

13.  IDS with PTO-1449  Copies of IDS Citations

14.  Preliminary Amendment

15.  Return Receipt Postcard

16.  Request and Certification for Non-Publication. Form PTO/SB/35 is attached.

17.  Certified Copy of Priority Document(s) if foreign priority is claimed

18.  Other: \_\_\_\_\_

**IF A CONTINUING APPLICATION, CHECK APPROPRIATE BOXES AND SUPPLY THE REQUISITE INFORMATION**

19.  Continuation  Divisional  Continuation-in-Part of prior application No.: \_\_\_\_\_  
 Complete Application based on provisional Application No. \_\_\_\_\_

Prior application information: Examiner:  
Group Art Unit:

CORRESPONDENCE ADDRESS

20. Correspondence Address: Customer Number 321  
Attention: Robert M. Bain

Respectfully submitted,

Robert M. Bain

Robert M. Bain, Reg. No. 36,736

RMB/cj1

**FEE TRANSMITTAL**

Application Number Not yet assigned  
Filing Date January 18, 2001  
Confirmation No. Not yet assigned  
First Named Inventor Shin-Heng Chen  
Attorney Docket Number LELI 3414

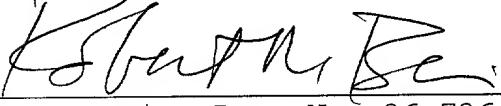
**METHOD OF PAYMENT**

1.  The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345.
- The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.
- Applicant claims small entity status
2.  Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.

**FEE CALCULATION**

1.  BASIC FILING FEE Subtotal (1) \$355.00  
(Type: Utility)
2.  EXTRA CLAIM FEES Subtotal (2) \$  
Total Claims 11  
Independent Claims 03  
Multiple Dependent Claims
3.  ADDITIONAL FEES Subtotal (3) \$40.00  
 Surcharge - late filing fee or oath  
 Surcharge - late provisional filing fee or cover sheet  
 Extension for reply within \_\_\_\_\_ month  
 Notice of Appeal  
 Filing a Brief in Support of an appeal  
 Request for ex parte Reexamination  
 Petitions to the Commissioner  
 Submission of Information Disclosure Statement  
 Recording each patent assignment per property  
 Request for Continued Examination  
 Other: \_\_\_\_\_

**TOTAL AMOUNT OF PAYMENT** \$395.00

  
Robert M. Bain, Reg. No. 36,736      January 18, 2001  
Date

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